

## Full Length Article

## Green steel at atomistic scale: Ab initio simulation of surface reduction mechanism of Wüstite (FeO) by hydrogen

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## ABSTRACT

The reduction of FeO (wüstite) to Fe represents the final and slowest step in the hydrogen-based direct reduction of iron ores for sustainable ironmaking. However, the atomistic-scale mechanisms and kinetics of this process remain poorly understood. Here, we employ *ab initio* meta-dynamics simulations to investigate reaction pathways and energy barriers for this redox process on FeO(1 0 0) and FeO(1 1 1)<sub>O</sub>-terminated surfaces. Differences in surface configurations lead to variations in the number of H<sub>2</sub> molecules required, reaction pathways, and energy barriers. The FeO surface exhibits an autocatalytic effect, facilitating H<sub>2</sub> dissociation and reducing the energy barrier for breaking H<sub>2</sub> molecular bonds. Nevertheless, hydrogen dissociation and adsorption, forming O–H bonds, constitute the primary rate-limiting step. Following this, the Fe–O bond spontaneously breaks in the presence of individual H atoms. Increasing H<sub>2</sub> partial pressure enhances reaction efficiency by raising the density of reactive H<sub>2</sub> molecules, consistent with macroscopic observations. These insights advance the atomistic-scale understanding of hydrogen-based direct reduction, highlighting the influence of pressure and rate-limiting factors.

## 1. Introduction

Steel, an alloy predominantly composed of iron and carbon, is the most widely utilized metallic material globally. For over 3,000 years, it has provided essential strength, durability, and longevity to components across construction, infrastructure, transportation, appliances, machinery, and more, all at a relatively low cost[1]. With an annual production of 1.9 billion tons, steel far surpasses the production volume of any other metallic material. The second most produced metal, aluminum, reaches approximately 100 million tons per year, emphasizing the critical role of steel in supporting human civilization and enhancing quality of life. However, the steel industry faces today a pressing challenge in decarbonization, as it accounts for approximately 8 % of global annual CO<sub>2</sub> emissions[2,3]. Current CO<sub>2</sub> emissions average 1.8–2.0 tons per ton of steel produced[4]. Notably, 70–80 % of these emissions originate from the conventional ironmaking process, which relies on the blast furnace–oxygen converter route. This method uses coal and coke as reducing

agents, resulting in significant CO<sub>2</sub> generation as a by-product[5]. In contrast, hydrogen can be used as a promising alternative reductant to fossil carbon, featuring an endothermic reaction pathway and producing only water as a by-product. Consequently, hydrogen-based reduction technologies are viewed as a potentially sustainable and environmentally friendly alternative for ironmaking, provided the hydrogen is sourced from renewable energy[6–8].

Currently, hydrogen is explored as a (co-)reductant in three different types of ironmaking processes: blast furnace[9], direct reduction[10], and plasma smelting reduction [11]. The blast furnace relies on coke, which serves multiple critical functions: it provides a porous column structure that facilitates gas transport, ensuring the furnace's counter-current reactor properties and mechanical stability. The majority of coke is consumed for heating while simultaneously generating reductant gas. This occurs initially through its reaction with hot oxygen to form CO<sub>2</sub>, which is then converted into CO reductant gas via the Boudouard reaction. The CO subsequently reacts with solid iron ore to reduce it.

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Additionally, coke in direct contact with iron oxides acts as a reducing agent via direct reduction reactions. This multifaceted role of coke—providing porosity, structural stability, heat, and reductant production—presents significant challenges for fully substituting it with hydrogen in the blast furnace process. An alternative is hydrogen plasma smelting reduction, which has demonstrated practical feasibility and efficiency in reducing iron oxides, even at partial  $H_2$  pressures as low as 10 % or lower. However, the scalability of this process for industrial applications remains a challenge due to limited large-scale trials. A key issue is the non-linear scalability of plasma-based processes, necessitating detailed upscaling experiments with progressively larger reactors. These trials must address critical aspects such as electrode design and materials, refractory materials, and slag metallurgy to ensure the process's viability and efficiency at industrial scales[12–14]. Direct reduction with hydrogen as a reductant is another alternative: hydrogen gas and hydrogen containing gas mixtures have long been investigated as a primary reducing agent in direct reduction processes, with direct reduction furnaces demonstrating industrial scalability in terms of both size and production volume[6,13,15–18]. As a result, direct reduction is considered the most viable technology for industrial implementation using fully green hydrogen as the reductant. Pilot-scale hydrogen-based direct reduction (HyDR) plants, such as the HYBRIT[19] project and the tkH<sub>2</sub>steel[20] project, have already been established, showcasing the potential for large-scale adoption.

In the HyDR process, the reduction of iron oxides strongly depends on pressure[21,22] and temperature[23,24]. At temperature above 570 °C, iron oxide is reduced to iron through a stepwise reduction:  $Fe_2O_3$  (hematite)  $\rightarrow$   $Fe_3O_4$  (magnetite)  $\rightarrow$  FeO (wüstite)  $\rightarrow$  Fe. At temperatures below 570 °C, the equilibrium shifts in a way that does not favor the stability of FeO[25]. The reduction then proceeds via  $Fe_2O_3 \rightarrow Fe_3O_4 \rightarrow Fe$ . The HyDR is a complex gas–solid reduction process, where several studies have addressed and in part elucidated the influence of various microstructure, feedstock, and processing factors on process performance, efficiency and metal yield. Ma *et al.*[17] have categorized the reaction behaviors into three distinct scales: macroscopic, mesoscopic, and micro-to-atomic-scale behaviors. The Ellingham energy balance for redox processes between different oxides paired with different reductants shows that  $H_2$ -based reduction of iron oxides is, in principle, feasible. However, a significant thermodynamic disparity exists between reduction by carbon-based reductants and hydrogen. While the reduction of iron oxides with CO is exothermic, the reduction with  $H_2$  is endothermic. Consequently,  $H_2$ -based reduction processes require additional energy input through co-fueling and higher operating temperatures to sustain reactor operation[26,27]. From a kinetic perspective, the solid-state direct reduction rate via  $H_2$  is significantly higher than that with CO as reductant[28,29], approximately 2.5 times. The influencing effects of specific process parameters, such as temperature[29,30], pressure[31], and gas composition[32,33], on the direct reduction process remain active areas of investigation. Mesoscopic scale mean-field analysis is frequently employed to elucidate phenomena in HyDR, including phase transitions, porosity evolution, and mass transfer[18,34,35]. Studies suggest that the initial porosity of mineral phases facilitates  $H_2$  percolation and, crucially, the removal of  $H_2O$ [36,37]. As the reaction progresses, the outbound migration of oxygen atoms increasingly constrains the overall reduction rate. In the final stages, during the transition from wüstite to iron, the formation of a dense iron layer on the outer shell of the pellets significantly hinders the migration of residual oxygen, thereby limiting reaction kinetics[38]. Thus, the reduction of FeO to Fe has been confirmed as the rate-limiting step in direct reduction[39–41].

The mechanistic pathways of FeO reduced by  $H_2$ , pivotal to this transition, are complex and multifaceted. Experimental efforts to elucidate these mechanisms have been hindered by the high temperatures and rapid reaction kinetics characteristic of the process. As a result, a detailed understanding of the stepwise reaction sequence and the associated energy barriers remains incomplete. This brings atomistic

simulations into the play, as an alternative means for a more detailed probing of the underlying reduction mechanisms and kinetics. However, studying the reduction process at the atomistic scale using ab initio molecular dynamics (AIMD) simulations over a limited timeframe, such as 100 ps, presents significant challenges. Rare events, including H–H bond dissociation, H atom adsorption on the FeO surface, and O–Fe bond breaking, occur infrequently in AIMD simulations and reactive molecular dynamics (MD) simulations due to the constrained simulation timescale. To accurately capture these phenomena, the integration of enhanced sampling techniques, such as meta-dynamics, is essential. Uddin *et al.*[42] applied reactive force field molecular dynamics (ReaxFF-MD) in combination with meta-dynamics to compute the free energy changes associated with the dissolution of Ca ions from various  $Ca_3SiO_5$  surfaces. Similarly, Li *et al.*[43] employed AIMD coupled with meta-dynamics using the PLUMED software to investigate the dissolution process of tricalcium silicate in water, effectively elucidating the surface reaction pathways. In contrast, no comparable studies have been conducted on the reduction of iron oxides.

Therefore, in this study, we investigate the atomistic reduction mechanism of FeO by  $H_2$  using ab initio meta-dynamics simulations. The reduction process of FeO with different crystallographic orientations by  $H_2$  is analyzed and compared to elucidate the underlying reaction pathways, free energy changes, energy barriers, and microstructural characteristics. Our findings reveal that  $H_2$  dissociation involves significant energy barriers, making it a critical rate-limiting step in the early precursor stages of the reduction process. Upon adsorption of a hydrogen atom onto an oxygen atom, forming a hydroxyl bond, the strength of the original O–Fe bond is substantially weakened, leading to a marked propensity for its dissociation. We also observed that the intermediate adsorption structures during the reduction process aligned with the IR spectra of various microstructures obtained in redox experiments involving FeO and  $H_2$ [44,45]. These findings offer profound new insights into the mechanism of O atom removal from the FeO surface during hydrogen reduction.

## 2. Methods

### 2.1. Atomistic model

For the FeO model, a  $2 \times 2 \times 3$  supercell was constructed, and the bottom 2-layer set of the atoms remained fixed. Then, a 10 Å thick vacuum layer was placed above the FeO surface. Both the FeO(1 0 0)– $H_2$  and FeO(1 1 1)<sub>O-terminated</sub>– $H_2$  models consist of 100 atoms, as shown in Fig.1(a) and Fig.1(b), with a lattice parameters of  $8.66\text{Å} \times 8.66\text{Å} \times 20.83\text{Å}$  and  $10.61\text{Å} \times 12.25\text{Å} \times 16.25\text{Å}$ , respectively.

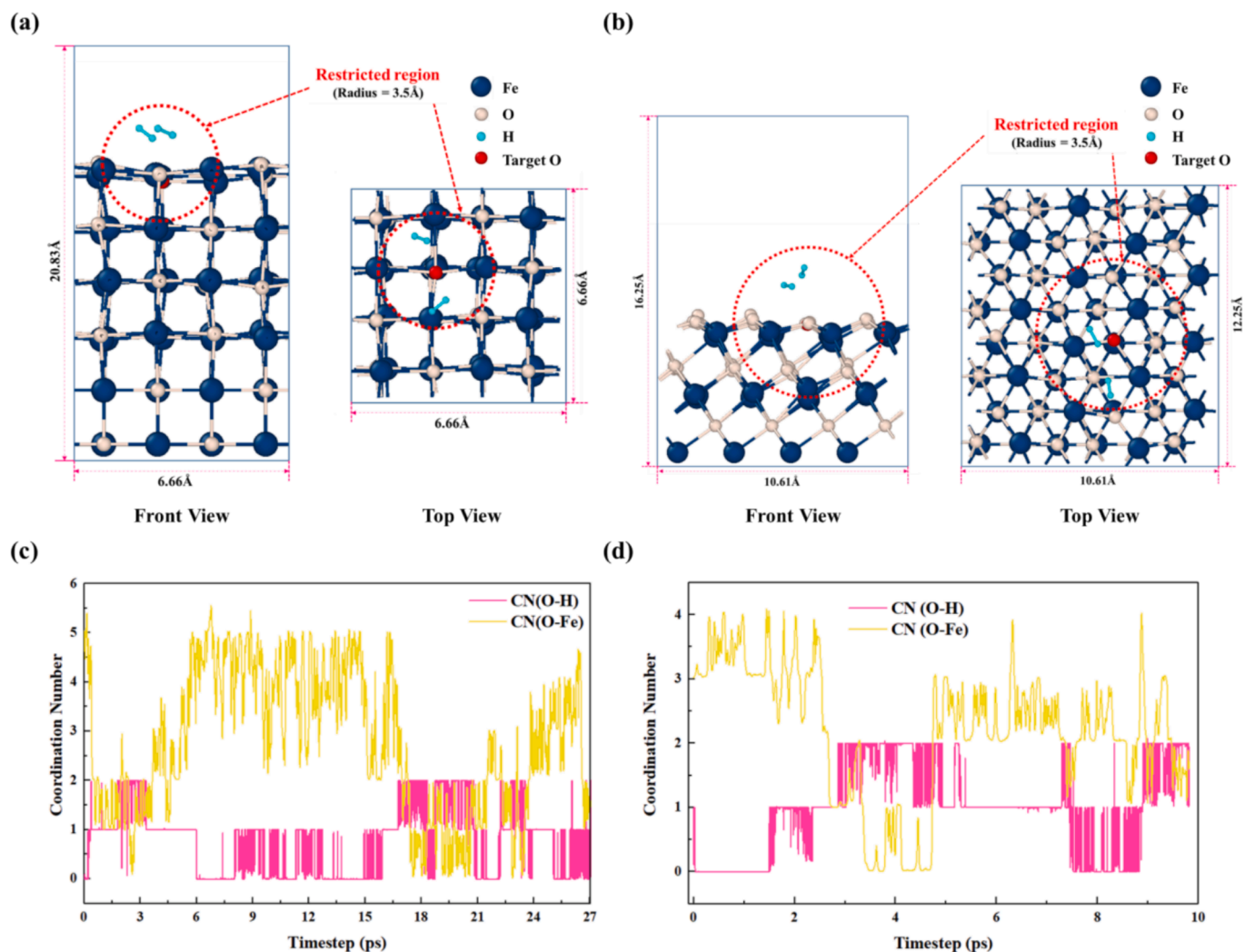
### 2.2. Ab initio meta-dynamics simulations

All calculations are conducted using the QuickStep package of CP2K[46,47]. The electronic structure is characterized using the Kohn–Sham density functional theory approach. The implementation of density functional theory (DFT) is grounded in the Gaussian and plane wave framework. Molecular orbitals for valence electrons are depicted using Goedecker–Teter–Hutter (GTH) pseudopotentials[48,49]. The wave function was expanded on a double- $\zeta$  valence polarized (DZVP)[50] basis set. The energy cutoff and REL\_CUTOFF were set to 500 Ry and 50 Ry, respectively. The exchange–correlation effects were described using the Perdew–Burke–Ernzerhof (PBE) functional[51], complemented by the application of Grimme's D3 dispersion correction for enhanced accuracy[52]. In the simulation, the nuclei were modeled under the Born–Oppenheimer approximation, employing a time step of 0.5 fs. All simulations were conducted at 1173 K to mirror industrial reactor conditions through the use of a Nose–Hoover thermostat [53], which was coupled to the system with a time constant of 1000 fs within the NVT ensemble. The convergence criterion for the energy was established at  $10^{-11}$  Hartree for precision.

In density functional theory, incorporating a Hubbard-type  $U$  potential correction term mitigates certain limitations of the local and semi-local exchange–correlation functionals while maintaining computational efficiency. Nonetheless, the effectiveness of the DFT +  $U$  approach is highly contingent upon the selection of appropriate Hubbard  $U$  values[54]. Conventional DFT tends to underestimate the Coulomb repulsion among the localized 3d electrons in iron[55,56]. To address this limitation, the DFT +  $U$  method has been employed to achieve a more accurate depiction of these electronic states[57,58]. This semi-empirical approach introduces an additional potential specifically for the 3d states of iron, enhancing the reliability of the calculations. The equations of state of FeO with different +  $U$  value is shown in Fig.S1. The most stable lattice constant of our simulation is in the range of 4.27–4.32Å, which is closer to the experimental value[59]. Therefore, the +  $U$  value does not obviously affect the equations of state of FeO. The value of the band gap increased monotonically with the increment +  $U$  value, as shown in Fig.S2. When the +  $U$  value assumes a value of 1 eV or 2 eV, respectively, there is no band gap of wüstite. In the existing literature[60–64], the theoretical values for the band gap of wüstite vary between 1.5 and 2.4 eV. To ensure that the energy bands remain within a

reasonable range while minimizing the impact of +  $U$  on the simulations, we selected a  $U$  value of 4 eV, with a band gap of 1.74 eV.

Meta-dynamics not only explores the system's potential energy surface—capturing the enthalpic contributions as a function of collective variables (CVs)—but also estimates the occurrence probabilities of various conformations or states through statistical sampling, indirectly reflecting entropic contributions. By examining the free energy minima of the system, the chemical reaction pathway can be effectively determined. During chemical reactions, atomic configurations evolve, with the formation of O–H bonds and the breaking of O–Fe bonds altering the local coordination environment of oxygen. Consequently, the coordination number (CN) of oxygen was chosen as the collective variable in the simulation. Specifically, CN(O–Fe) represents the coordination number of a target oxygen ion with its neighboring iron ions in the FeO crystals, while CN(O–H) denotes the coordination number of the target oxygen ion with hydrogen ions that stem from the  $H_2$  molecules. The coordination number (CN) is defined using the PLUMED code formalism as follows[65,66]:



**Fig. 1.** Setup of the simulation model and the evolution of collective variable in the metadynamics simulations. CN: Coordination number. (a) The reaction surface of the FeO crystal is (1 0 0), and the target oxygen atom is coordinated with 5 Fe atoms. (b) The reaction surface of FeO crystal is (1 1 1)-O<sub>terminated</sub>, and the target oxygen atom is coordinated with 3 Fe atoms. (c) The evolution of the CN(O–Fe) and CN(O–H) in the FeO(1 0 0)-H<sub>2</sub> model. (d) The evolution of the CN(O–Fe) and CN(O–H) in the FeO(1 1 1)-O<sub>terminated</sub>-H<sub>2</sub> model. (The mazarine, white, and cyan spheres are Fe, O, and H atoms, respectively. The red sphere represents the target O atom for collective variable calculation. The “restricted region” is the region in which the H<sub>2</sub> molecules are allowed to move freely in meta-dynamics simulation, and this effectively increases the frequency of contact between H<sub>2</sub> and the FeO interface.). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

$$CN(O, X) = \sum_{j \in X} s_{ij}(r_{ij}) = \sum_{j \in X} \frac{1 - \left(\frac{r_{ij} - d_0}{r_0}\right)^4}{1 - \left(\frac{r_{ij} - d_0}{r_0}\right)^{10}}, X = Fe \text{ or } H \quad (1)$$

where,  $r_{ij}$  represents the distance between atoms  $i$  and  $j$ . The term  $s_{ij}(r_{ij})$  is a rational type switching function that characterizes the coordination between atoms  $i$  and  $j$ . The parameter  $d_0$  is the central value of this function, while  $r_0$  denotes the acceptance distance for the switching function, at which the function will be  $n/m$  at  $d_0 + r_0$ . In our definition,  $d_0$  is set to 2.2 and 1.05, corresponding to the equilibrium bond length between the O-Fe atoms and O-H atoms, respectively. The value of  $r_0$  is chosen as 0.65 and 0.2, approximating half of the full width at half maximum of the radial distribution function for O-Fe and O-H, respectively.

Gaussian hills were added at intervals of every 20 timesteps, each with an initial height of 2.5 kJ/mol and a width of 0.1 for both CVs. The simulations employed a bias factor of 30. The time evolution of CV(CN(O-Fe)) and CV(CN(O-H)), which are detailed in the Fig. 1(c) and Fig. 1(d).

### 2.3. Structural analysis and spectroscopy calculations

Radial distribution functions (RDFs) were employed to analyze the short-range order and long-range disorder within the systems, enabling the correlation of local atomic structures with macroscopic properties. Representing the probability of locating an ion at a position  $r$  within a distance  $\Delta r$  from a specified reference ion, the RDFs ( $g_{ij}$ ) are expressed as:

$$g_{ij}(r) = \frac{V}{N_i N_j} \sum_j \frac{\langle n_{ij}(r - \Delta r/2, r + \Delta r/2) \rangle}{4\pi r^2 \Delta r} \quad (2)$$

where  $N_i$  represents the total number of atomic species  $i$  present in the system,  $V$  the volume,  $n_{ij}(r - \Delta r/2, r + \Delta r/2)$  the average number of ions  $j$  surrounding the ion  $i$  within a distance  $r \pm \Delta r/2$ . In addition to determining the local order, RDFs also provide basic structural information.

To study the vibrational spectra during the reaction process between FeO and H<sub>2</sub>, we selected the structure of H<sub>2</sub> adsorbed on the FeO surface for IR spectrum analysis. The structure with adsorbed H atoms was computed and examined using the *Vibrational Analysis* module of the *Quickstep* package in CP2K, maintaining consistency with the parameters used in the *ab initio* meta-dynamics simulations. Vibrational bands were calculated with CP2K, which provides reliable predictions for the wavenumbers and intensities of the fundamental, overtone, and combination bands. The second derivative of the Hamiltonian was determined using the harmonic approximation equation in the *Multiwfn* formalism[67], enabling accurate calculation of the infrared frequencies.

## 3. Results

### 3.1. Determination of the reaction model

The reduction process of Fe<sub>2</sub>O<sub>3</sub> proceeds in a stepwise manner, with the final step, the conversion of wüstite (FeO) to metallic iron (Fe), serving as the critical rate-limiting stage. In situ X-ray diffraction experiments investigating the reduction of Fe<sub>2</sub>O<sub>3</sub> by H<sub>2</sub>, it was observed that the FeO(1 0 0) surface, a characteristic reconstructed surface facet, readily forms during the reduction of hematite[38]. Hence, it has been chosen as one of the reference facet models for the reaction simulation. Since the outer facet surface atoms of the FeO(1 1 1) surface are all unsaturated oxygen atoms, the FeO(1 1 1)<sub>O</sub>-terminated surface exhibits a higher adsorption energy for H<sub>2</sub>[68]. Therefore, the FeO(1 1 1)<sub>O</sub>-terminated surface has also been selected for comparative analysis. Since our

simulation study focuses on the reaction pathways and energy changes associated with oxygen movement and removal from the crystal surface, only two H<sub>2</sub> molecules were included in the simulation to eliminate partial pressure as an additional parameter. To accelerate the reaction rate and optimize computational efficiency, an external potential was applied to confine two H<sub>2</sub> molecules within fixed spherical regions centered on the target oxygen atom, as shown in Fig. 1(a) and Fig. 1(b). The motion area is a spherical region with a radius of 3.5 Å centered on the target oxygen atom. The specific confining potential is defined by the equation:

$$V(r) = A \left(\frac{r}{R}\right)^8, r = \sqrt{(X-a)^2 + (Y-b)^2 + (Z-c)^2} \quad (3)$$

Here,  $A = 1$  eV is the strength coefficient,  $R = 3.5$  Å is the characteristic radius, and the center coordinates ( $a, b, c$ ) define the spatial position of the potential. This potential is applied exclusively to hydrogen atoms, ensuring no direct influence on other regions of the system. The confining potential introduces an additional energy term for the controlled atoms. Due to its high-order power-law form ( $r^8$ ), the potential remains negligible for  $r < R$  but increases significantly for  $r > R$ , effectively restricting the atoms from moving far from the central region. This design minimizes perturbations to the internal equilibrium configurations of the system, preserving the energetics of the core region while achieving the desired spatial confinement.

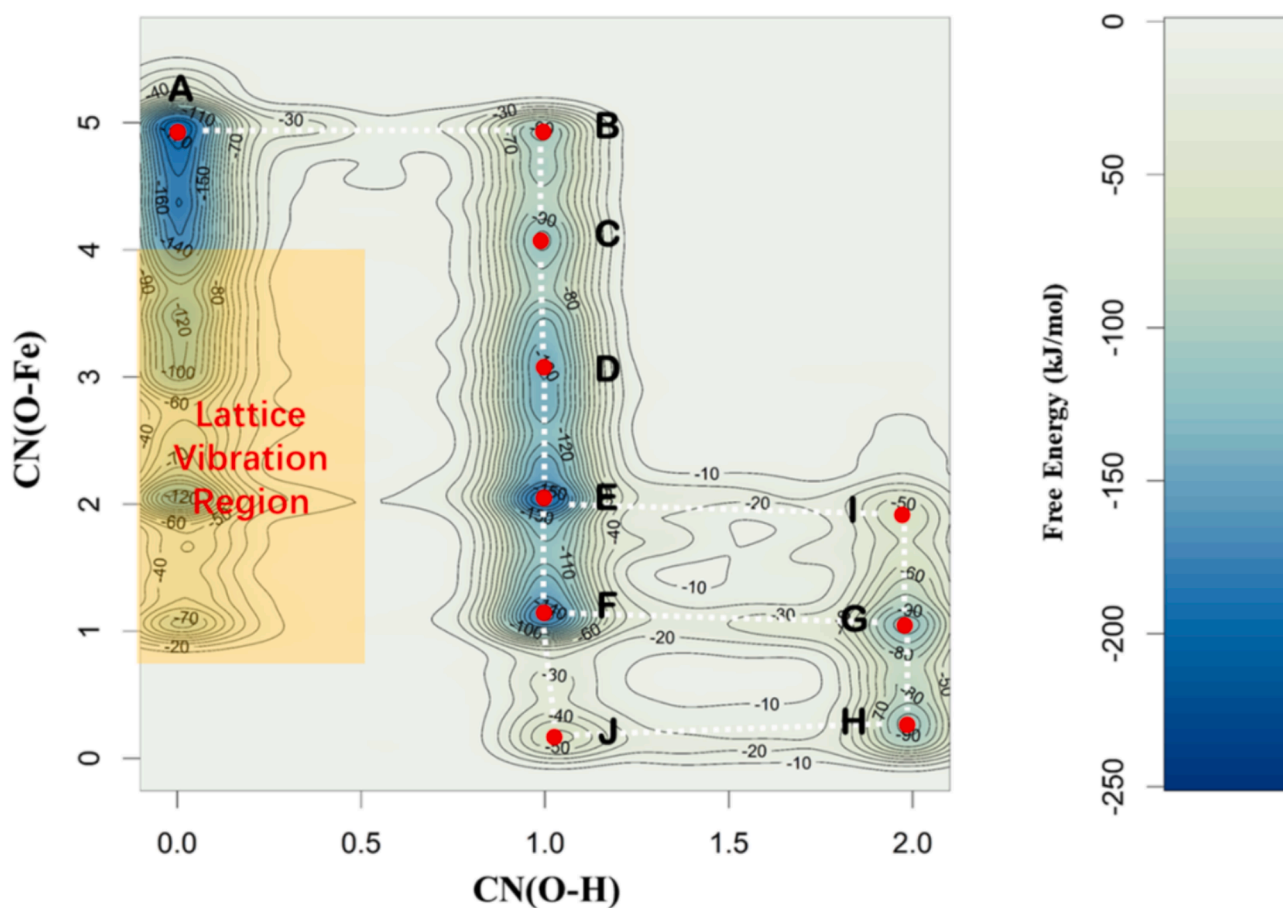
### 3.2. Reduction path of FeO(1 0 0) by H<sub>2</sub>

The 2-dimensional free energy surface of the FeO(1 0 0)-H<sub>2</sub> system is shown in Fig. 2(a). The coordinate of the state is presented in the form of  $X(CN(O-Fe), CN(O-H))$ , in which  $X$  indicates the label on the free energy surface. The energy variations within the rectangular region visible in Fig. 2(a) are attributed to lattice vibrations, an effect amplified by the bias forces inherent in the meta-dynamics simulation methodology. During the simulation, these bias forces can induce the artificial formation of unconventional structures. For instance, the elongation or breaking of the O-Fe bond in the absence of H<sub>2</sub> adsorption is highly unlikely to occur under normal conditions.

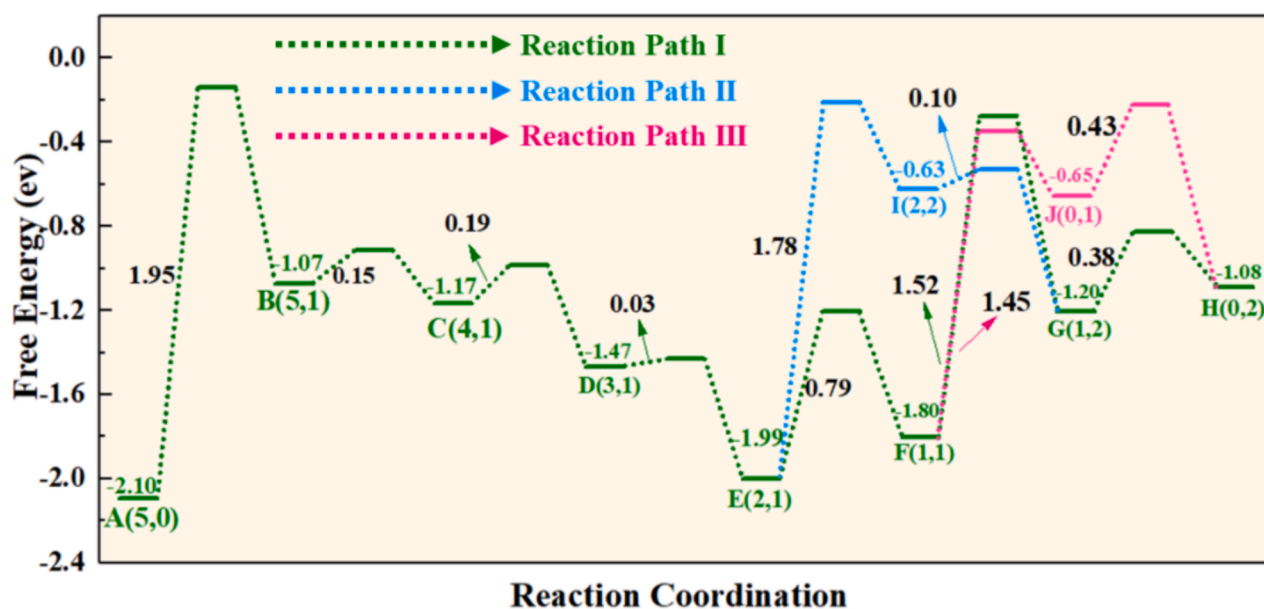
The results suggested that the O removal most likely underwent two stages: the adsorption of the first H atom and the bond-breaking process of three O-Fe bonds, see  $A(5,0) \rightarrow E(2,1)$  in Fig. 2(a), and the adsorption of the second H atom and the breaking process of the remaining O-Fe bonds, see  $E(2,1) \rightarrow H(0,2)$  in Fig. 2(a). The first stage has only one pathway: First, an O atom with a CN(O-Fe) of 5 adsorbs one hydrogen atom, followed by a gradual decrease in CN(O-Fe) to 2, which is the process from  $A(5,0) \rightarrow B(5,1) \rightarrow C(4,1) \rightarrow D(3,1) \rightarrow E(2,1)$  in Fig. 2(a). Based on the free energy surface, three reaction pathways are suggested. Parth I:  $E(2,1) \rightarrow F(1,1) \rightarrow G(1,2) \rightarrow H(0,2)$ ; Parth II:  $E(2,1) \rightarrow I(2,2) \rightarrow G(1,2) \rightarrow H(0,2)$ ; Parth III:  $E(2,1) \rightarrow F(1,1) \rightarrow J(0,1) \rightarrow H(0,2)$ . The details of independent reaction paths will be discussed in the following sections.

Fig. 2(b) shows the changes in free energy, and Fig. 3 presents the corresponding possible reaction configuration in the FeO(1 0 0)-H<sub>2</sub> model. The adsorption of H<sub>2</sub> onto the FeO surface is a complex process that necessitates continuous interaction between the H<sub>2</sub> molecule and the surface atoms. When the H<sub>2</sub> molecule interacts concurrently with both oxygen and iron atoms on the surface, its molecular bond dissociates, resulting in the formation of the structure  $B(5,1)$ . As shown in Fig. 2(b), the energy increases by 1.03 eV. Subsequently, the structure unit B spontaneously breaks the O-Fe bond, undergoing the  $B(5,1) \rightarrow C(4,1) \rightarrow D(3,1) \rightarrow E(2,1)$  process sequence, with associated energy barriers of 0.15, 0.19, and 0.03 eV, respectively. As the configurational free energy decreases progressively, this reaction process can proceed spontaneously. Among the observed configurations, apart from the initial structure, configuration  $E(2,1)$ , where the target O atom is bonded to 2 Fe atoms and 1 H atom, is the most stable configuration in the reaction process, and it is also the final state of the first stage.

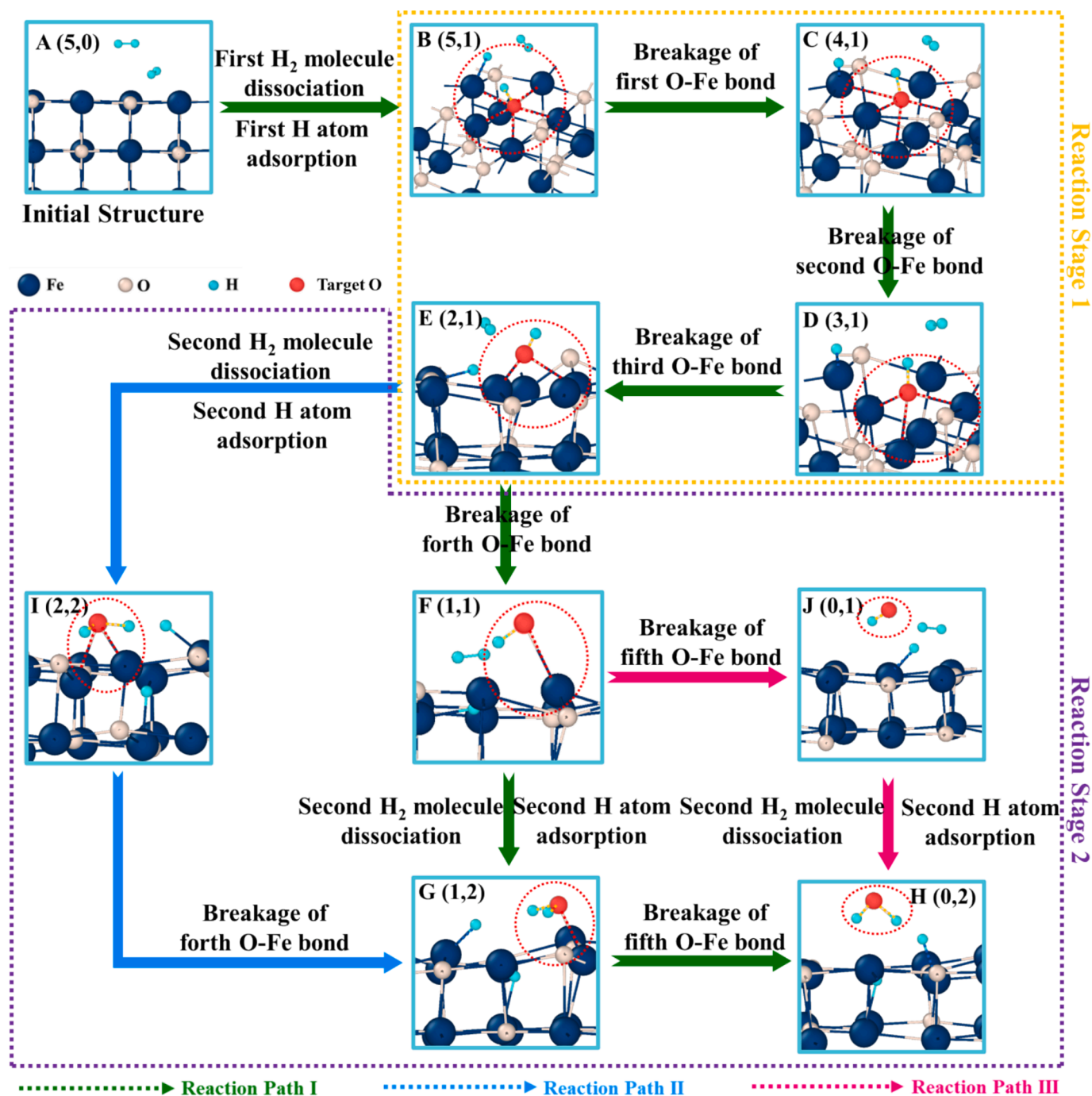
(a)



(b)



**Fig. 2.** The possible reaction mechanism of the FeO(1 0 0)–H<sub>2</sub> model. (a) The two-dimensional free energy surface landscape with variables of CN(O–H) and CN(O–Fe). (The crystal vibration region refers to the physical structure that is far from its normal expected state due to the system bias during the free energy surface filling process. It is a necessary methodological condition because the filling process of certain structures may undergo an evolution for these kinds of structures.). (b) The evolution of free energy with different reaction pathways. (The (x,y) represents the coordination state, x is the CN(O–Fe), and y is the CN(O–H). The top number of (x, y) is the free energy of a specific structure. Different colors mean different reaction pathways, corresponding to microstructure evolution.).



**Fig. 3.** The microstructure evolution corresponds to different reaction pathways (The mazarine, white, and cyan spheres are Fe, O, and H atoms, respectively). The red sphere represents the target O atom for collective variable calculation. The black number represents the reaction energy barrier. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Structure E(2,1) is the starting point for the second stage reaction, as shown in Fig. 3. Three possible reaction pathways can be analyzed from the change in free energy. The green line represents the first possible reaction pathway. Under the influence of H atoms, the O atom continues to break the O-Fe bond, eventually forming a state with CN(O-Fe) of 1, see structure F(1,1) in Fig. 3. The free energy change for this step increases, indicating that the reaction is endothermic and non-spontaneous. Nevertheless, the energy barrier is relatively low assuming a value of 0.79 eV, suggesting that the step is kinetically favorable. Subsequently, upon interaction with an additional H<sub>2</sub> molecule, the second H<sub>2</sub> undergoes dissociation. This process is analogous to the dissociation of the first H<sub>2</sub> molecule in the initial stage, requiring the

overcoming of a significant energy barrier of 1.52 eV and similarly representing an endothermic reaction.

The dissociation of H<sub>2</sub> molecules is also a rate-limiting step. One hydrogen atom continues to adsorb with the oxygen atom, while the other hydrogen atom bonds with an iron atom, forming the structure G (1,2) in Fig. 3. A single hydrogen atom is observed to occupy the position initially held by an oxygen atom in the FeO crystal structure. This hydrogen atom interacts with neighboring oxygen and iron atoms, contributing to the structural stability. Subsequently, through the combined action of two hydrogen atoms, an H<sub>2</sub>O molecule detaches from the original crystal structure, forming the H(0,2) configuration depicted in Fig. 3. For the continued formation of H<sub>2</sub>O molecules, the

involvement of a second  $H_2$  molecule is required. The trajectory of two hydrogen molecules is illustrated in [supplementary Fig.S3](#). The corresponding reduction process can be viewed in the [supplementary video](#).

The blue line represents the second possible reaction pathway. In this pathway, the second  $H_2$  molecule dissociates under the influence of configuration E(2,1), producing two separate hydrogen atoms. One hydrogen atom interacts with an oxygen atom, increasing its coordination CN (O–H) to 2, while the other hydrogen atom bonds with an iron atom, analogous to the  $H_2$  dissociation in the first reaction pathway. Similarly, one hydrogen atom occupies the position initially held by an oxygen atom in the crystal lattice, as the oxygen atom adsorbs the two hydrogen atoms and migrates above the original crystal surface. Since this step involves the dissociation of  $H_2$ , it is evident that the energy barrier for the E(2,1)  $\rightarrow$  I(2,2) step is relatively high, 1.78 eV, and the process is strongly endothermic, with an energy change of up to 1.36 eV. Structure I(2,2) is relatively unstable and can spontaneously break one of the O–Fe bonds with a low energy barrier of only 0.10 eV, resulting in the formation of structure G(1,2). The subsequent steps involving  $H_2O$  formation and removal align with those described in the first reaction pathway.

The purple line represents a third possible reaction pathway. In this pathway, structure F(1,1) undergoes further O–Fe bond breaking facilitated by a single hydrogen atom, leading to the formation of a free –OH group. This step has a relatively high associated energy barrier of 1.52 eV and is thus strongly endothermic. Subsequently, an additional  $H_2$  molecule interacts with the FeO structure, resulting in the adsorption of two hydrogen atoms on the surface. The free –OH group then reacts with one of these hydrogen atoms to form an  $H_2O$  molecule. This subsequent step is relatively facile and exothermic.

### 3.3. Reduction path of $FeO(1\ 1\ 1)_{O\text{-terminated}}$ by $H_2$

For the  $FeO(1\ 1\ 1)_{O\text{-terminated}}$  model, it has also been validated that the structure with CN(O–Fe) = 3 and CN(O–H) = 0 is the most stable under the  $FeO(1\ 1\ 1)_{O\text{-terminated}}$  system, as shown in [Fig. 4\(a\)](#). There is free energy filling for structures with CN(O–Fe) = 3 and CN(O–H) = 2. The CN variation curve in [Fig. 1\(d\)](#) shows that the filling in this region mainly occurred after the formation of  $H_2O$ . Moreover, this structure does not appear continuously but re-emerged under the influence of the meta-dynamics bias from the final structure. Additionally, by comparing this with the free energy of structure C(2,1), the direct transformation from structure B(3,1) to structure C(2,1) is the most probable and most accessible pathway. In general, substances preferentially follow reaction pathways that require lower activation energy and are thus kinetically or thermodynamically more favorable. Therefore, the free energy in the region with CN(O–Fe) = 3 and CN(O–H) = 2 has not been included in the further scope of the analysis for this reaction pathway.

[Fig. 4\(b\)](#) and [Fig. 5](#) show the free energy variations and corresponding structural evolution under different reaction pathways, respectively. In this reaction model, the reaction behavior can also be divided into two stages. The first stage is mainly the transformation from structure A(3,0) into structure C(2,1). Initially, the hydrogen molecule dissociates under the influence of the oxygen atoms on the FeO surface, forming two hydrogen atoms adsorbed on the oxygen atoms, as shown in structure B(3,1). This process exhibits a relatively high energy barrier of 1.38 eV but necessitates the absorption of only 0.19 eV of thermal energy. Subsequently, structure B(3,1) spontaneously breaks an O–Fe bond, forming structure C(2,1). At this point, the energy barrier is very small, accompanied by an exothermic reaction of 0.71 eV. Structure C(2,1) represents the global free energy minimum point, that is, the most stable structure.

The second stage also encompasses three possible reaction pathways, with the structure of CN(O–Fe) = 2 and CN(O–H) = 1 serving as the initial state of the reaction. The green line represents the first possible reaction pathway, where structure C(2,1) undergoes a strong endothermic process, breaking an O–Fe bond. The energy barrier at this stage

is 1.84 eV (the highest energy barrier compared with the other reaction steps) in the first possible pathway, indicating that it acts as the rate-limiting step for this pathway variant. Subsequently, another hydrogen atom adsorbed on an oxygen atom combines with the target oxygen atom, increasing the coordination CN(O–H) to 2, resulting in structure E(1,2). This step is a mildly exothermic process, associated with a moderate energy barrier of 0.58 eV. Subsequently, under the influence of two hydrogen atoms, the final O–Fe bond breaks spontaneously, releasing 0.69 eV of energy. A single  $H_2$  molecule is sufficient to complete the formation of an  $H_2O$  molecule.

The blue line represents the second possible reaction pathway. Here, a hydrogen atom adsorbed onto another oxygen atom and migrates to the target oxygen atom, forming two O–H bonds (structure G(2,2)). This step requires a global maximum energy barrier of 1.90 eV, the highest energy barrier compared with the other reaction steps in the second possible pathway. Structure C(2,1) represents the global energy minimum point, signifying it as the most stable structure. Altering this structure thus generally necessitates overcoming a significant energy barrier. However, in the presence of two hydrogen atoms, the remaining two O–Fe bonds spontaneously break, resulting in the exothermic formation of an  $H_2O$  molecule.

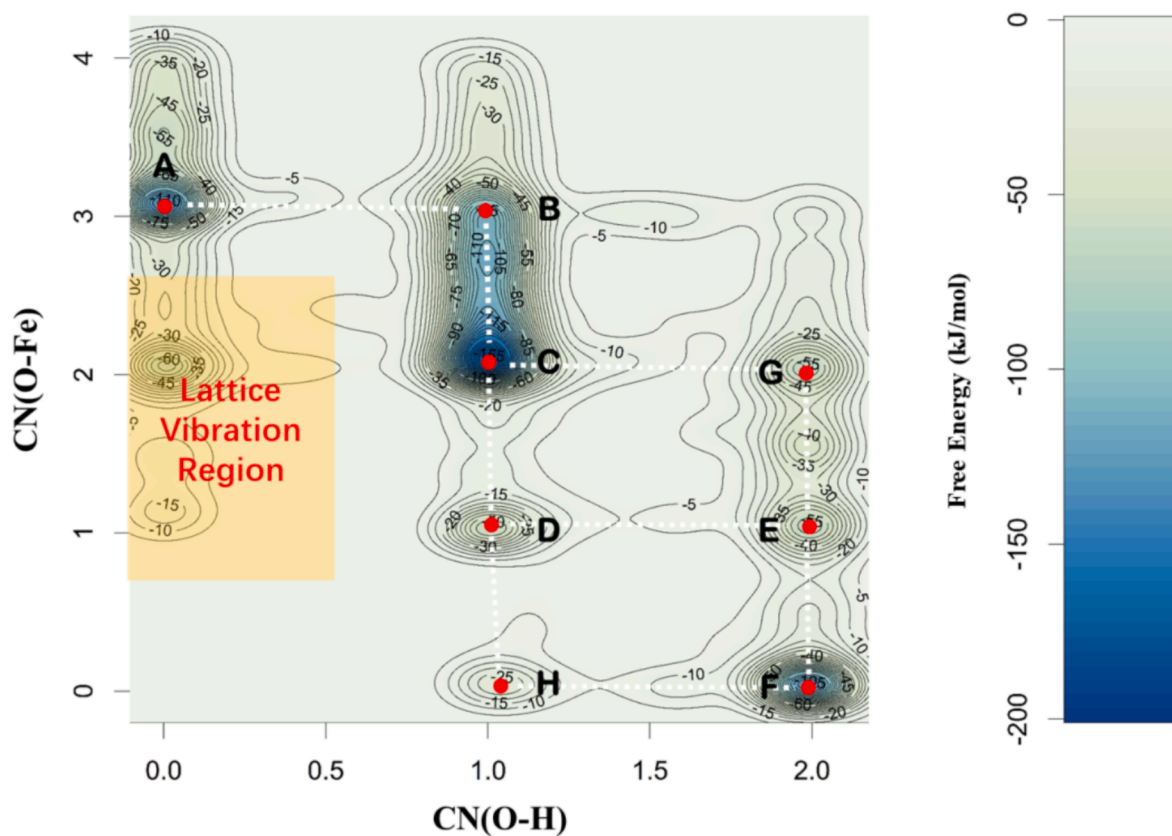
The purple line represents the third possible reaction pathway. After undergoing the C(2,1)  $\rightarrow$  D(1,1) transition, identical to the first possible pathway, the final O–Fe bond breaks under the influence of a hydrogen atom, leading to the formation of structure H(0,1). This reaction step is endothermic, with an energy barrier of 0.59 eV. Following this, the –OH group reacts with a hydrogen atom adsorbed on the FeO surface, leading to the formation of an  $H_2O$  molecule. Across all three possible pathways, the reaction involves only a single  $H_2$  molecule, a distinct mechanism compared to the  $FeO(1\ 0\ 0)$  surface. This highlights fundamentally different rate-limiting principles between the two surface configurations.

### 3.4. Structural and IR spectroscopy analysis

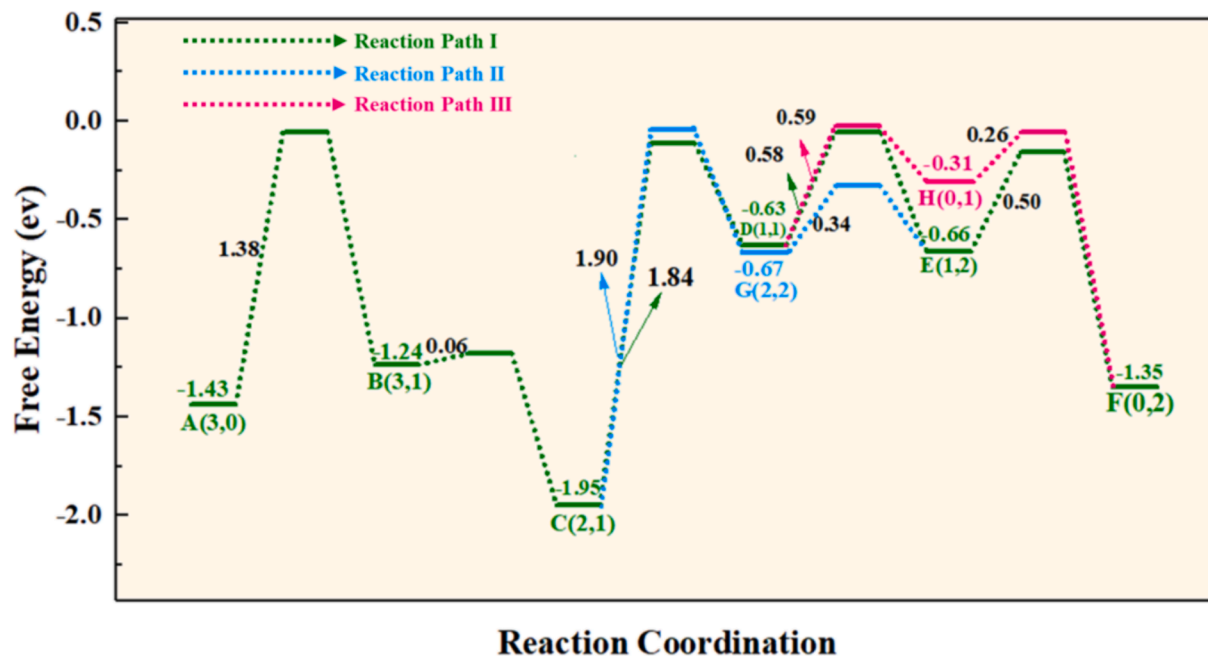
Infrared (IR) spectroscopy is a powerful tool for characterizing specific aspects of the nano- and microstructural evolution associated with the reaction processes discussed above. It thereby can serve as a valuable metric for bridging theoretical predictions with experimental observations. The IR spectroscopy of the  $H_2$  and FeO reaction model is simulated and shown in [Fig. 6\(a\)](#). First, it can be clearly observed that there is a band between 860–950  $cm^{-1}$ , which is a response to the vibration of the FeO crystal structure. Secondly, there is a noticeable band in the range of 294–736  $cm^{-1}$ , which corresponds to the H–Fe–O–H structure. A spectral band corresponding to the Fe–H structure is observed in the range of 1440–1620  $cm^{-1}$ . Additionally, a sharp peak appears around 3000  $cm^{-1}$ , resembling the IR spectrum characteristic of the OH functional group in organic compounds[44]. All peak positions identified in the IR spectroscopy align with experimentally measured bands, indicating that the simulation results are in agreement with the experimental findings [45].

Further, [Fig. 6\(b\)](#), [\(c\)](#), and [\(d\)](#) represent the radial distribution function for H–H, Fe–H, and O–H, respectively. The bond lengths between atoms can be directly evaluated using the RDF. For the H–H bond, the RDFs in both models are nearly identical, indicating a bond length of 0.75 Å, consistent with the known  $H_2$  bond length[69]. In contrast, the Fe–H bond lengths differ significantly between the two models, measuring 1.95 Å and 2.75 Å, respectively. This suggests that the former represents a bonded state, while the latter corresponds to a non-bonded interaction, indicating whether the Fe atom is actively involved in the reaction process. Regarding the O–H bond, both models exhibit consistent bond lengths of 0.95 Å, aligning with the bond length found in water molecules[70].

(a)



(b)



**Fig. 4.** The possible reaction mechanisms with the FeO(111-O)-H<sub>2</sub> model. (a) The two-dimensional free energy surface landscape with variables of  $CN(O-H)$  and  $CN(O-Fe)$ . (The crystal vibration region refers to the physical structure that is far from its normal expected state due to the system bias during the free energy surface filling process. It is a necessary methodological condition because the filling process of certain structures may undergo an evolution for these kinds of structures.) (b) The evolution of free energy with different reaction pathways. The (x,y) represents the coordination state, x is the  $CN(O-Fe)$  and y is the  $CN(O-H)$ . The top number of (x,y) is the free energy of a specific structure. Different colors mean different reaction pathways, corresponding to microstructure evolution.

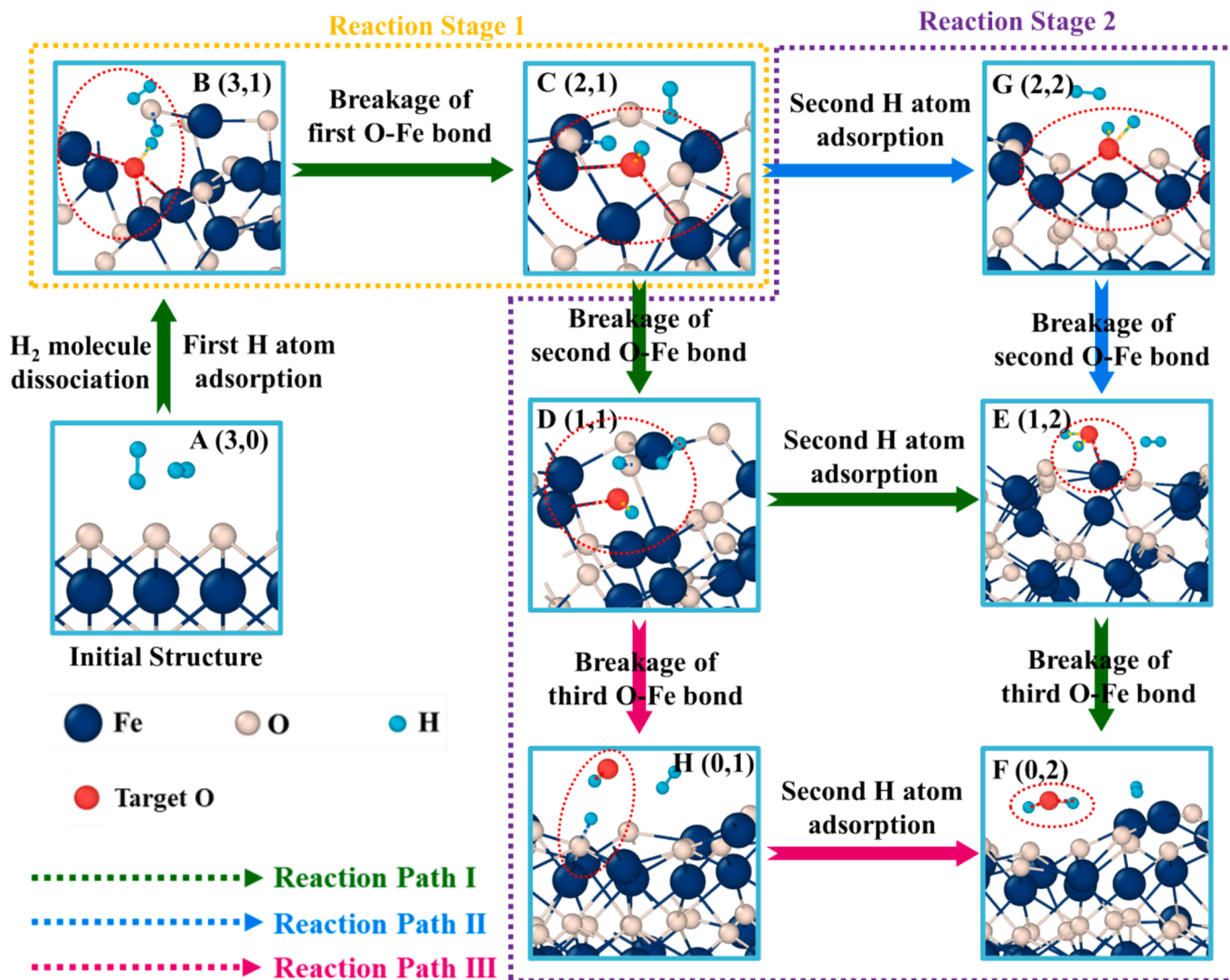


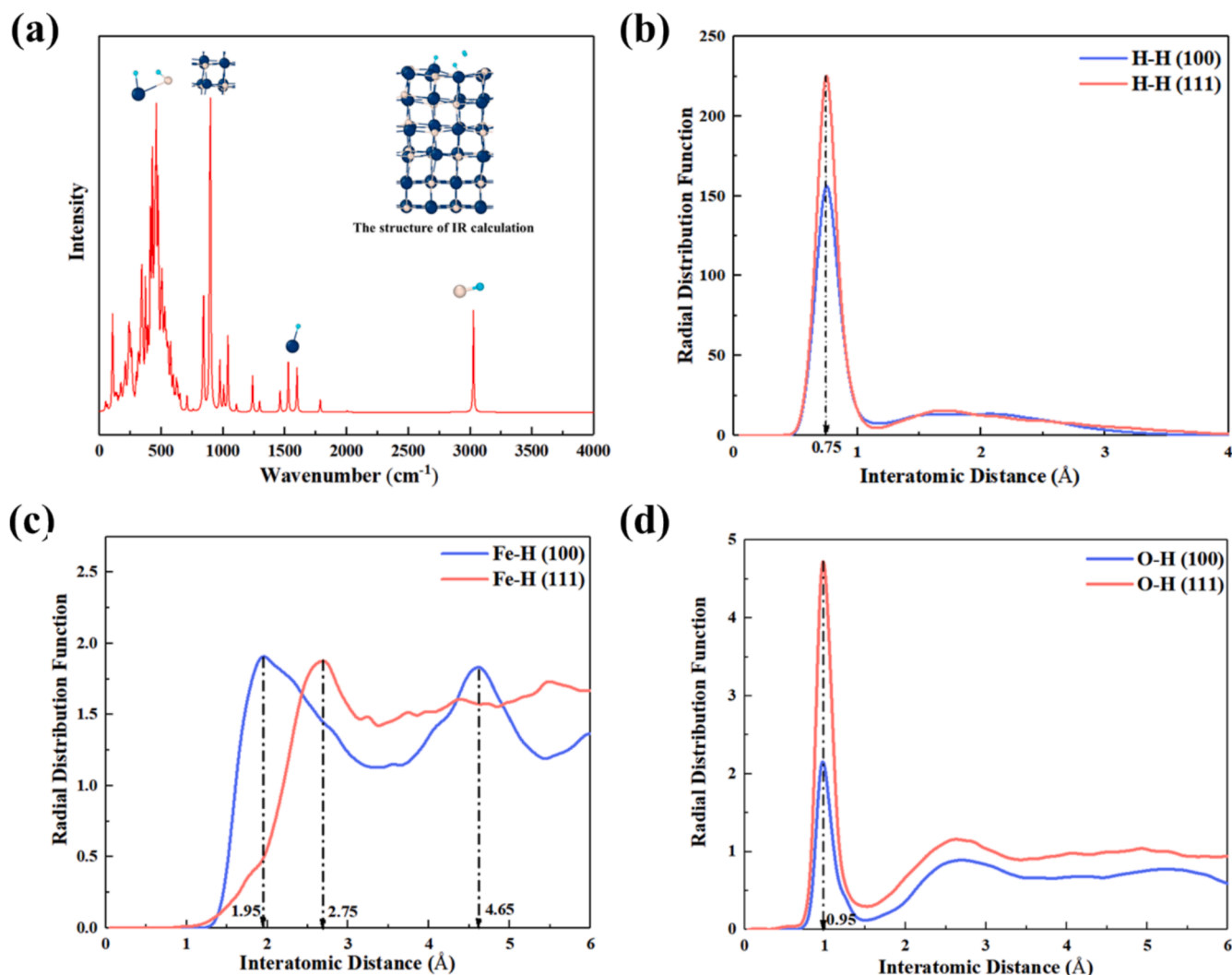
Fig. 5. The specific microstructure evolution depends on the respective reaction pathways. (The mazarine, white and cyan spheres are Fe, O and H atoms, respectively. The red sphere represents the target O atom for collective variable calculation. The black number refers to the reaction energy barrier.). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

#### 4. Discussion

The reaction pathways for  $\text{H}_2$  to reduce oxygen atoms from FeO surfaces vary significantly depending on the crystal orientation, as evidenced by differences in free energy profiles and energy barriers. In the  $\text{FeO}(1\ 0\ 0)\text{-H}_2$  model, pathways I and II, illustrated in the supporting video, represent continuous reaction processes, as depicted in Fig. 3. Pathway III, on the other hand, is a reaction sequence leading to intermediate structures that are captured while filling the free energy surface, reflecting steps that are otherwise unlikely to occur in a continuous reaction process. Pathways I and II should be the expected energetically favorable reduction reaction pathways. Free energy analysis indicates that pathway III is a feasible reaction pathway, with the transient independent existence of free OH groups as a potential intermediate. Notably, the participation of two  $\text{H}_2$  molecules is essential for the formation of  $\text{H}_2\text{O}$  molecules. Furthermore, due to the interaction between Fe atoms and H atoms, the H atoms adsorbed onto Fe atoms are unable to migrate to O atoms. The cleavage of  $\text{H}_2$  is a high-energy barrier process and serves as the rate-limiting step. However, the dissociation of  $\text{H}_2$  on FeO also exhibits a catalytic effect, demonstrating that FeO promotes  $\text{H}_2$  dissociation. It is well-established that the bond energy of  $\text{H}_2$  is 4.46 eV. In our study, both the energy barrier and the free energy change

associated with this process are significantly lower than this value. The reaction steps involving changes in the coordination number (CN) of O-H encompass two key processes:  $\text{H}_2$  dissociation and H atom adsorption. Zhang et al.[59] had reported already that the adsorption of H atoms on the  $\text{FeO}(1\ 1\ 1)_\text{O}$ -terminated surface releases more energy, which explains why the endothermic process in the first step of the  $\text{FeO}(1\ 1\ 1)_\text{O}$ -terminated model is smaller. Therefore, it can be inferred that when  $\text{H}_2$  comes into contact with the  $\text{FeO}(1\ 0\ 0)$  surface, increasing the amount of  $\text{H}_2$  has a positive effect on promoting the overall reaction.

In the  $\text{FeO}(1\ 1\ 1)_\text{O}$ -terminated model, the reduction reaction occurs in two stages, involving two high-energy barrier steps, as illustrated in Fig. 5. The energy barrier of the first step is notably lower than that of the  $\text{FeO}(1\ 0\ 0)$  model, indicating a superior catalytic performance. Furthermore, the overall heat consumption is reduced. In the first stage, the rate-limiting step is also governed by the dissociation of  $\text{H}_2$ . Notably, in this model, a single  $\text{H}_2$  molecule is sufficient to complete the formation of one  $\text{H}_2\text{O}$  molecule. The rate-limiting step in the second stage is fundamentally distinct. It involves the disruption of the stable C(2,1) structure, identified as the globally most stable configuration. Since materials naturally tend toward the lowest-energy state, overcoming the stability of this structure requires surmounting a significant energy barrier. Subsequent reaction steps following the breakdown of the C



**Fig. 6.** The spectroscopy and structural analysis of FeO-H<sub>2</sub> reduction model. (a) The calculated IR spectra for the interaction between FeO and H<sub>2</sub>. (b) The radial distribution function between H atoms. (c) The radial distribution function between the Fe and the H atom. (d) The radial distribution function between O atom and H atom.

(2,1) structure proceed more readily. Increasing the number of H<sub>2</sub> molecules, and consequently the number of adsorbed H atoms on the surface, destabilizes the surface structure. This effect, in turn, enhances the easier migration of H atoms and promotes the formation of H<sub>2</sub>O molecules.

## 5. Conclusions

In summary, the underlying atomistic mechanisms of H<sub>2</sub> reducing O atoms from the surfaces of FeO(1 0 0) and FeO(1 1 1)<sub>O</sub>-terminated have been explored through *ab initio* meta-dynamics simulation. Our study reveals that the H<sub>2</sub> reduction process comprises two distinct reaction stages, each governed by a critical rate-limiting step. The formation of O-H bonds and the breaking of O-Fe bonds occur via different mechanisms. The FeO crystal serves as a catalyst, reducing the energy barrier for H<sub>2</sub> dissociation and thereby enhancing the likelihood of the reaction. Additionally, we validated the reliability of the simulated reaction structures through IR spectroscopy analysis. These findings offer new basic insights into the reduction mechanisms in hydrogen-based sustainable metallurgy and provide valuable guidance for predicting and optimizing reaction efficiency of corresponding reactors.

## CRediT authorship contribution statement

**Chunhe Jiang:** Writing – original draft, Investigation, Data curation, Conceptualization. **Kejiang Li:** Writing – review & editing, Supervision, Investigation, Conceptualization. **Jianliang Zhang:** Supervision, Funding acquisition. **Yan Ma:** Writing – review & editing.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.apsusc.2025.163031>.

## Data availability

Data will be made available on request.

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